



Designation: D8308 – 21

Standard Practice for Cannabis/Hemp Operation Compliance Audits¹

This standard is issued under the fixed designation D8308; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ε) indicates an editorial change since the last revision or reapproval.

1. Scope

1.1 *Purpose*—This practice identifies the minimum requirements for the planning, conduct, and reporting of compliance audits of a cannabis/hemp business. It provides information on terms, procedures, and responsibilities.

1.2 *Intent*—The intent is to provide specific instruction needed to develop reliable audit programs and procedures that are used to conduct audits that produce credible, consistent, and objective evidence and findings related to compliance with one or more standards, regulations, policies, best practices, or quality specifications. This practice can be used internally for pre-audit assessments to identify and correct operational gaps.

1.3 *Organization*—This practice is organized in the following manner:

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1.4 Nothing in this practice shall preclude observance of federal, state, or local regulations which may be more restrictive or have different requirements.

1.5 *This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety, health, and environmental practices and determine the applicability of regulatory limitations prior to use.*

1.6 *This international standard was developed in accordance with internationally recognized principles on standardization established in the Decision on Principles for the*

Development of International Standards, Guides and Recommendations issued by the World Trade Organization Technical Barriers to Trade (TBT) Committee.

2. Referenced Documents

2.1 *ASTM Standards:*²

[D8229 Guide for Corrective Action and Preventive Action \(CAPA\) for the Cannabis Industry](#)

3. Terminology

3.1 *Definitions of Terms Specific to This Standard:*

3.1.1 *action plan, n*—a plan to correct negative audit findings and close gaps.

3.1.2 *audit, v*—see *compliance audit*.

3.1.3 *audit authority, n*—the entity that authorizes, or initiates, the audit process.

3.1.3.1 *Discussion*—The audit authority may be internal to the audited entity, such as senior management not involved with the day-to-day operations of the operation/area(s) being audited; or external, such as a financial stakeholder, a business customer, or a government authority having jurisdiction.

3.1.4 *audit criteria, n*—the set of requirements that are applicable to the objective and scope of an audit. Examples include standards, regulations, laws, policies, best practices, quality specifications, and industry best practices.

3.1.5 *audit data, n*—data collected during an audit to support the audit findings. Examples: Photos, notes, documents, records, forms, and answers.

3.1.6 *audit finding, n*—a statement of the audited entity's conformity against the audit criteria at the time of the audit.

3.1.6.1 *Discussion*—The audit finding is the good/bad, conformity/nonconformity statement that results from an evaluation of the audit data collected. It can also be the collective conformity/nonconformity of each question or criteria. The audit finding(s) is not the audit data that supports the audit finding.

3.1.7 *audit objective(s), n*—broad statement(s) of what the audit intends to accomplish.

¹ This practice is under the jurisdiction of ASTM Committee D37 on Cannabis and is the direct responsibility of Subcommittee D37.02 on Quality Management Systems.

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² For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.